

Applicant: William Freeman et al.  
 Serial No.: 10/688,356  
 Filing Date: October 17, 2003  
 For: VARIABLE ELECTROCHROMIC OPTICAL ATTENUATOR



Sheet 1 of 2  
 Confirmation No.: 2295  
 Att'y Docket No.: 15436.54.1  
 Group: 2873

### INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

#### U.S. Patent Documents

Examiner <u>Initial*</u>	Document <u>Number</u>	Issue <u>Date</u>	Name
T.T. 1	4,989,938	02/05/1991	Tamulevich
T.T. 2	5,015,057	05/14/1991	Rumbaugh et al.
T.T. 3	5,436,921	07/25/1995	Corio
T.T. 4	5,598,293	01/28/1997	Green
T.T. 5	6,205,280 B1	03/20/2001	Wagoner et al.
T.T. 6	6,266,474 B1	07/24/2001	Han et al.
T.T. 7	6,275,320 B1	08/14/2001	Dhuler et al.
T.T. 8	6,437,901 B1	08/20/2002	Kobayashi et al.

#### Foreign Patent Documents

Examiner <u>Initial*</u>	Document <u>Number</u>	Publication <u>Date</u>	Country or <u>Patent Office</u>	<u>Translation</u>

#### Other Documents (including author, title, pertinent pages, etc.)

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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**References Cited by Applicants**

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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